

# Zero Growth Temperature of Crystallizing Polyethylene

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Even though the Hoffmann-Lauritzen theory of polymer crystallization [1] was repeatedly criticized, its basic assumptions were generally accepted in the community. In the treatment it was assumed that

- crystallites grow in lateral direction by a direct attachment of chain sequences from the melt onto the growth face,
- the thickness of the crystallites at the growth front is near the stability limit as given by the Gibbs-Thomson equation,
- the growth rate is controlled by an activation step over a free energy barrier whose height is proportional to the crystal thickness.

The properties show up in the main equations of the theory:

- The thickness  $d_c$  of the crystallites which grow at a crystallization temperature  $T$  is described as

$$d_c = \frac{2\sigma_e T_f^\infty}{\Delta h_f (T_f^\infty - T)} + \delta \quad (1)$$

where  $\sigma_e$  and  $\Delta h_f$  denote the surface free energy and the heat of fusion. According to the equation, crystal thicknesses are inversely proportional to the supercooling below the

equilibrium melting temperature  $T_f^\infty$  of macroscopic crystals, apart from a minor excess  $\delta$  necessary for providing a driving force.

- The crystal growth rate is described by the equation

$$G = G_0 \exp\left(-\frac{T_A^*}{T}\right) \cdot \exp\left(-\frac{T_G}{T_f^\infty - T}\right) . \quad (2)$$

The first exponential term expresses the temperature dependence of the segmental mobility in the melt; for temperatures far above the glass transition it follows an Arrhenius law with some effective activation temperature  $T_A^*$ . The second exponential term refers to the free energy of activation associated with the chain attachment onto the growth face. It diverges together with  $d_c$  at  $T_f^\infty$ .

Hoffman and Lauritzen related the activation step to the formation of a secondary nucleus whose extension in chain direction agrees with the crystal thickness. Their theory then yields an expression for the parameter  $T_G$  of the form

$$T_G = \frac{K}{T} , \quad (3)$$

with  $K$  being determined by  $\Delta h_f$ ,  $\sigma_e$  and the surface free energy  $\sigma_1$  of the growth face. Proceeding on eqs (2) and (3) many workers evaluated temperature dependent growth rate measurements by plotting  $\ln(G/G_0) + (T_A^*/T)$  versus  $1/[T(T_f^\infty - T)]$ . These curves usually show two or three connected linear regions with different slopes. Hoffman and Lauritzen interpreted these changes as changes in the growth regime and developed detailed models for three regimes labelled I, II and III. Numerous experiments were carried out on polyethylene, and we reproduce here in Figures 1 and 2 results from one of the last papers of Hoffman with data obtained by Armistead [2]. Figure 1 shows growth rates of spherulites as measured in a polarizing optical microscope, and Figure 2 presents the plot used in the evaluation. Three linear ranges show up. The breaks at 128.9 °C and 120.9 °C are interpreted as I-II and II-III regime transitions, respectively.

Different from the multitude of growth rate measurements seen as confirmations of eq (2) and the existence of different regimes, the underlying relationship eq (1) was rarely checked. There is an appropriate tool, namely the determination of the crystallite thickness by small angle X-ray scattering experiments, based upon the deduced correlation function or interface distance distribution function. Beginning about ten years ago, we used this technique in temperature dependent investigations of several polymer systems: s- and i-polypropylene, polyethylene, poly( $\epsilon$ -caprolactone) and poly(1-butene), if possible both for homopolymers and derived statistical copolymers [3]. The results were clear, but at first surprising because they contradict eq (1). The law for the temperature dependence of  $d_c$  derived from the experiments has also the form of the Gibbs-Thomson equation but includes another controlling temperature, being given by

$$d_c = \frac{1}{C_c(T_c^\infty - T)} \quad . \quad (4)$$

The temperature  $T_c^\infty$  which determines the crystal thickness is always located above  $T_f^\infty$ . In the case of polyethylene we found  $T_c^\infty = 154^\circ\text{C}$ , which is about 10 K above the equilibrium melting point. In addition, it was observed that thicknesses of crystals, developing at a given temperature, do not change if co-units or stereo-defects are incorporated in the chain.  $d_c$  values of linear polyethylene, poly(ethylene-co-octene)s and poly(ethylene-co-butene)s are all commonly described by eq (4) with  $T_c^\infty = 154^\circ\text{C}$  and a unique  $C_c$ . Eq (1) enters into eq (2). With eq (1) being incorrect, the growth rate equation cannot be correct either. So we examined its validity. The results are reported in this short note.

Applying eq (2) means to assume from the beginning as a fact, that the activation energy diverges at the equilibrium melting point, thus bringing the growth rate down to zero. Actually, whether or not this is true, can be checked in straightforward manner by the growth rate measurements. We replace in the equation the set parameter  $T_f^\infty$  by a variable temperature  $T_{\text{zero}}$ , write

$$\ln \frac{G}{G_0} + \frac{T_A^*}{T} = -\frac{T_G}{T_{\text{zero}} - T} \quad (5)$$

and differentiate. A reordering leads to

$$\left(-\frac{d \ln(G/G_0)}{dT} + \frac{T_A^*}{T^2}\right)^{-1/2} = T_G^{-1/2}(T_{\text{zero}} - T) . \quad (6)$$

Application of this equation enables  $T_{\text{zero}}$  to be determined. The prerequisite is an accurate determination of the derivative  $d \ln(G/G_0)/dT$ . We first applied the procedure to the data of Armistead and Hoffman of Figure 1, and the result is shown in Figure 3. According to eq (6) a linear continuation of the data down to  $G = 0$  yields the ‘zero growth temperature’  $T_{\text{zero}}$ . As is obvious, such an extrapolation does not lead to  $T_f^\infty = 144.7 \text{ }^\circ\text{C}$ . However, the accuracy of the data is insufficient for a reliable determination of  $T_{\text{zero}}$ .

We therefore carried out an experiment on linear polyethylene ourselves. Our sample, purchased from Sigma-Aldrich Co., had a molar mass of  $6 \times 10^4 \text{ g mol}^{-1}$ . We purified it by a dissolution in hot toluene in order to keep the number of heterogeneous nuclei as low as possible. Since we were only interested in the range of high crystallization temperatures, the experiment in a polarizing optical microscope with a heating stage was started at  $128 \text{ }^\circ\text{C}$ , after cooling a melt from  $160 \text{ }^\circ\text{C}$ . We observed and registered with a digital camera the growth of spherulites in a layer with a thickness of about  $1 \text{ } \mu\text{m}$ . One isolated spherulite was selected and then its growth followed at a series of temperatures which were passed through upon a stepwise heating ( $\Delta T = 0.3 \text{ K}$ ). Five values of the spherulite size were determined as a function of time at each temperature to derive the growth rate. Because the spherulites did not show up as perfect circles, we determined the smallest ellipse which enclosed the selected spherulite. From the area  $A$  of the enclosing ellipse we derived the length  $R$  entering into the growth rate determination setting  $A = \pi R^2$ . At each temperature we determined as a function of time the changes  $\Delta R$  with regard to the respective initial value. Figure 4 collects the results thus obtained. The slope of unity in the log-log representation for all temperatures demonstrates the linearity of the growth process. Growth rates can be directly derived, and they are included in Figure 1 in a comparison with the Armistead-Hoffman data. As to be noted, the growth rates of our sample

are enhanced against the Armistead sample by a constant factor - with the only exception of the two points at the highest temperatures in the Armistead experiment. The enhancement might be due to the somewhat lower molar mass (the Armistead sample had  $M_w = 7.4 \times 10^4$  g mol<sup>-1</sup>). The constant factor vanishes if we consider the derivatives in the manner of eq (6). Our data are also included in Figure 3, and as comparison shows, both sets of data agree with each other within the error limits of the experiments. As also to be noted, our data have indeed the higher accuracy we were striving for. They allow to carry out a linear extrapolation down to  $G = 0$ , with an unambiguous result: The zero growth temperature of linear polyethylene is  $132.6 \pm 0.5$  °C . This is far away from the equilibrium melting point. Hence, the growth rate of polyethylene is determined by the distance to  $T_{\text{zero}} = 132.6$  °C rather than the supercooling below  $T_f^\infty$  as is conventionally assumed.

In the differentiation which transforms eq (2) into eq (6) we neglected the weak temperature dependence of  $T_G$  expressed by eq (3). To be sure whether or not this weak temperature dependence is really negligible, we determined  $T_{\text{zero}}$  additionally by a least square fit of our data to eq (2) with eq (3), replacing  $T_f^\infty$  by the variable  $T_{\text{zero}}$ . The procedure yielded exactly the same value for  $T_{\text{zero}}$ , and Figure 5 presents the data points together with the adjusted theoretical curve. Also the prefactor  $G_0$  might show some weak temperature dependence, but the effect is surely just as small as for  $T_G$ .

If one accepts  $132.6$  °C as the correct zero growth temperature one can ask again about the occurrence of different growth regimes. Figure 6 shows the corresponding plot, with a clear result: The break in Figure 2 which was interpreted as indicating a transition from regime I to II has disappeared in both sets of data. We cannot comment on the relevance of the apparent growth regime transition at  $120.9$  °C in Figure 2, but notice that it does not show up in the plot of the temperature derivatives in Figure 3. On the other hand, in this figure there appears a break at  $125$  °C in-between the two breaks in Figure 2. We cannot comment on this feature

either. In our experiment we did not reach this range of lower temperatures. Both, a too high spherulite density and the much enhanced growth rate hindered us to obtain reliable results.

Hence, in conclusion, the experiments show that crystallization of polyethylene is controlled by two characteristic temperatures which both are different from  $T_f^\infty$ :

- The crystal thickness is given by eq (4) with  $T_c^\infty=154$  °C ( $C_c = 3.25 \times 10^{-3}$  nm<sup>-1</sup>K<sup>-1</sup>[4])
- The growth rate is given by

$$G = G_0 \exp\left(-\frac{T_A^*}{T}\right) \cdot \exp\left(-\frac{T_G}{T_{zero} - T}\right) \quad (7)$$

with  $T_{zero}=132.6\pm 0.5$  °C ( $T_G = 18$  K; the first exponential factor has to be changed at low temperatures when the full Vogel-Fulcher expression  $\exp(-(T_A/(T - T_V)))$  must be used).

The findings for polyethylene are not exceptional. We obtained a similar result for poly( $\epsilon$ -caprolactone), also with different temperatures for  $T_f^\infty$  (99 °C ),  $T_c^\infty$  (130 °C ) and  $T_{zero}$  (77 °C ) [5].

For us, these results come as expected. They agree with the view proposed and advocated by us since several years [6]. We are convinced that the pathway followed in the growth of polymer crystallites includes an intermediate phase of mesomorphic character. We think that a thin layer with mesomorphic inner structure forms between the lateral crystal face and the melt, stabilized by epitaxial forces. The first step in the growth process is an attachment of chain sequences from the melt onto the growth face of the mesomorphic layer. The high mobility of the chains in the layer allows a spontaneous thickening up to a critical value were the layer solidifies under formation of block-like crystallites. A perfectioning of the crystallites then leads to their final state. We constructed a thermodynamic scheme dealing with the transitions between melt, mesomorphic layers and crystallites which follow one after the other during the growth process [7].  $T_c^\infty$  and  $T_{zero}$  are identified in this scheme with the temperatures of the (hidden) transitions

from the mesomorphic to the crystalline and from the amorphous to the mesomorphic phase. The mesomorphic phase of polyethylene seems to be identical with the well-known hexagonal phase stable under high pressure-high temperature conditions. Figure 14 in ref.[7] shows a  $p/T$  diagram of the three phases of polyethylene as conjectured from various observations. It predicts for normal pressure conditions a value of  $134\text{ }^{\circ}\text{C}$  for the temperature of the hidden transition between the amorphous and the mesomorphic phase, in nearly perfect agreement with the experiment. In our view the activation step controlling crystal growth in polyethylene is to be related to a straightening of chain sequences prior to the attachment onto the surface of a mesomorphic layer. Different from a direct transition into the all-trans conformation, attachment onto the mesomorphic layer is possible for a variety of overall straightened conformations, which reduces the entropic energy barrier. In fact, as is indicated by the unusually rapid rise of the growth rate on lowering the crystallization temperature, the activation barrier is low in polyethylene. The height of the mesomorphic growth front increases when the crystallization temperature is raised and would diverge at  $132.6\text{ }^{\circ}\text{C}$ . A crystallization mediated by a transient mesomorphic phase cannot continue up to this temperature but ends before, probably already very near to the highest temperature ( $131\text{ }^{\circ}\text{C}$ ) reached in this experiment. From thereon, crystallites must grow by direct attachment of chain sequences on crystalline growth faces. The growth rate equation then turns into a dependence as described by eq (2). As a matter of fact, so far this range was never reached in experiments. They all ended at  $131\text{-}132\text{ }^{\circ}\text{C}$ .

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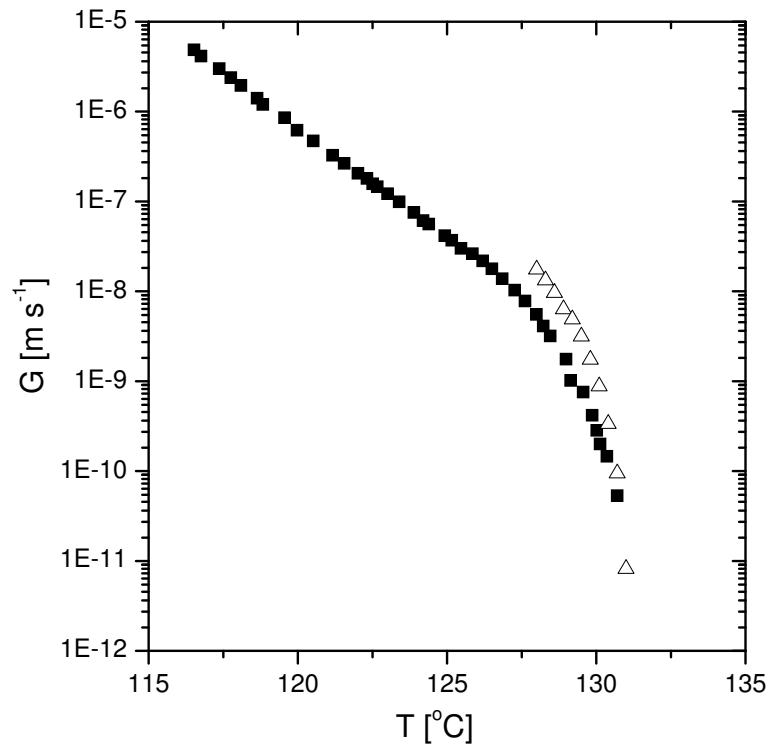


Figure 1: Temperature dependence of the radial growth rate  $G$  of linear polyethylene: Data of Armistead & Hoffman [2] (*filled squares*) and our data (*open triangles*)

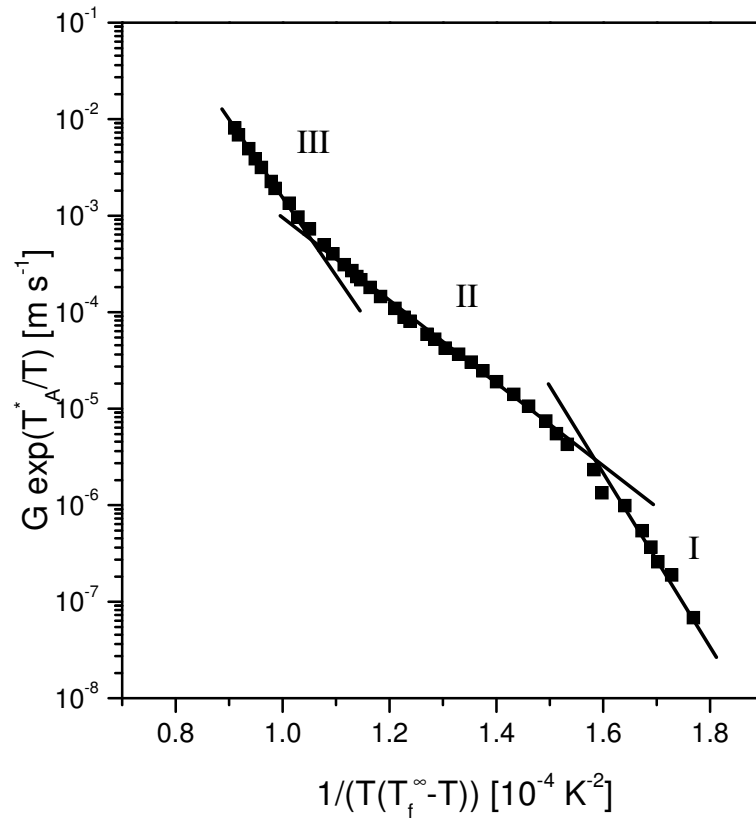


Figure 2: Data of Armistead & Hoffman [2]: Representation suggested by eq (2) ( $T_A^* = 2890$  K,  $T_f^\infty = 144.7$  °C )

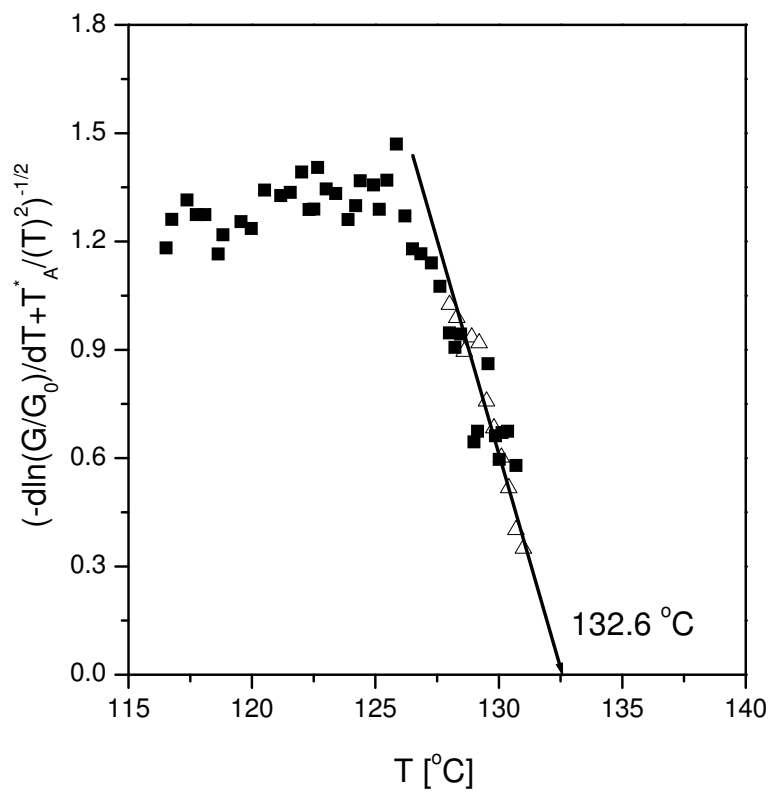


Figure 3: The two sets of data in Figure 1: Representation applying eq (6) to determine  $T_{\text{zero}}$  ( $T_{\text{A}}^* = 2890$  K). Linear extrapolation yields  $T_{\text{zero}} = 132.6$  °C

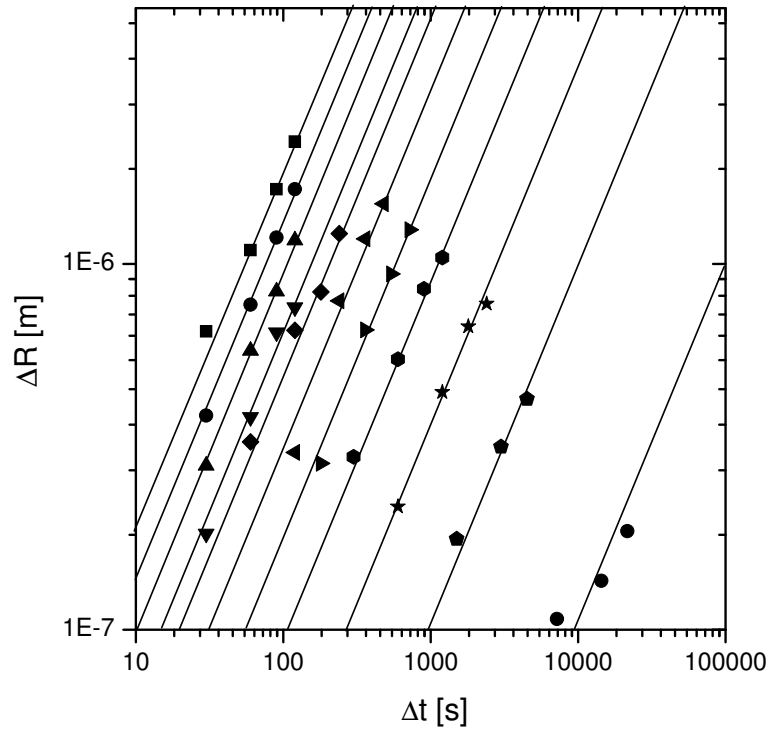


Figure 4: Sample of polyethylene ( $M_w = 6 \times 10^4 \text{ g mol}^{-1}$ ): Increase of the radius of a spherulite  $\Delta R$  as a function of  $\Delta t$  observed at a series of step-like increasing temperatures beginning at  $128 \text{ }^\circ\text{C}$  and ending at  $131 \text{ }^\circ\text{C}$  (steps  $\Delta T = 0.3 \text{ K}$ )

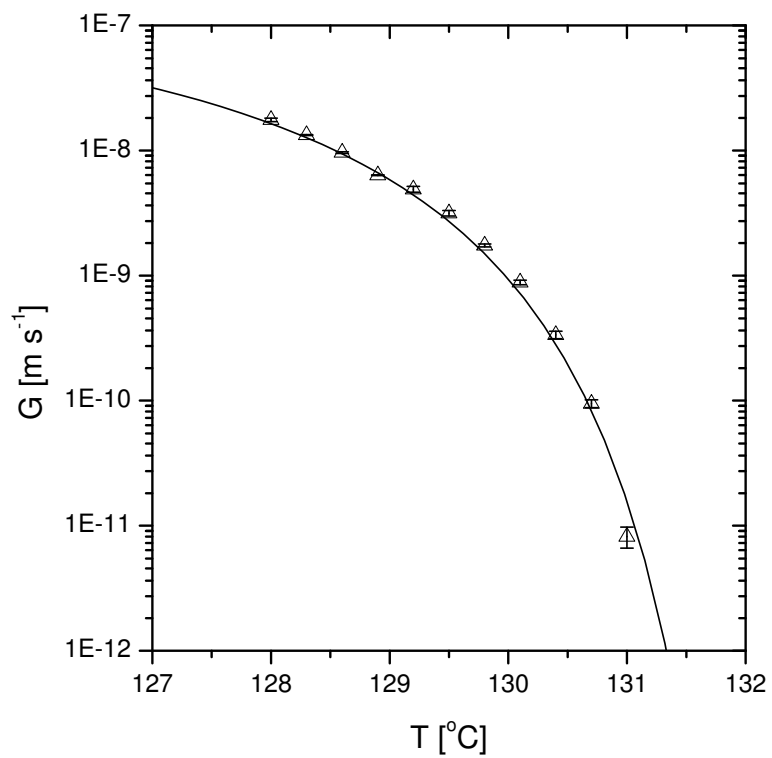


Figure 5: Sample of polyethylene ( $M_w = 6 \times 10^4 \text{ g mol}^{-1}$ ): Data fit based on eq (2) ( $T_A^* = 2890$  K). The fitting procedure yields again  $T_{\text{zero}} = 132.6 \text{ }^\circ\text{C}$

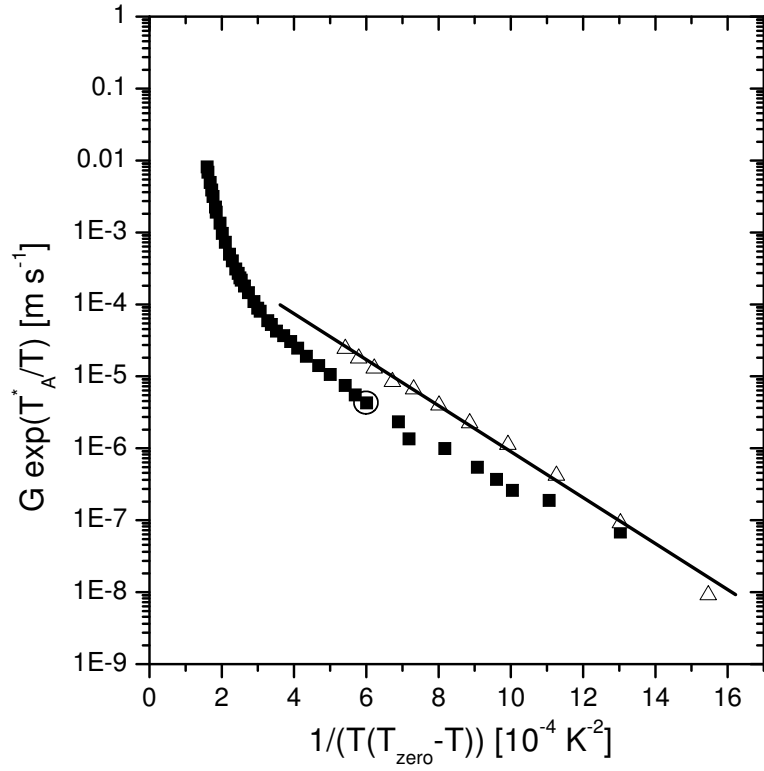


Figure 6: Data representation suggested by eq (7) with  $T_{\text{zero}} = 132.6 \text{ }^\circ\text{C}$  ( $T_A^* = 2890\text{K}$ ). The position of the break at  $128.9 \text{ }^\circ\text{C}$  in Figure 2 is indicated by a circle